



**Single Electricity Market  
(SEM)**

**Capacity Market Code Modifications**

**Workshop 43**

**CMC\_09\_25 & CMC\_14\_25**

**Appendix A**

**Approved Modification Text Drafting**

**SEM-25-072**

**17 December 2025**

### E.3 OPT-OUT NOTIFICATIONS

#### E.3.1 Circumstances in which an Opt-out Notification may be Submitted

- E.3.1.6 The Participant shall keep a copy of any information included in, or supporting, or relevant to the preparation of, an Opt-Out Notification for a period of six years from the Qualification Application Closing Date.

### E.4 APPLICATION FOR QUALIFICATION

- E.4.1.1 Where a Participant (or, Applicant, as applicable) is required to or may apply for a Candidate Unit to be Qualified to participate in a Capacity Auction under paragraph E.2.1.1 or E.2.1.2 (as applicable) and has not submitted a valid Opt-out Notification in respect of that Candidate Unit;

- (a) where the Candidate Unit does not have a Capacity Market Unit Identifier, the Participant (or Applicant, as applicable) shall apply to provisionally register the proposed Generator Unit or proposed Interconnector as a Candidate Unit in accordance with B.5.2.6 by the Participation Notice Closing Date specified in the relevant Capacity Auction Timetable; and,
- (b) the Participant it shall make the application by submitting to the System Operators an Application for Qualification for that Candidate Unit (or a combination of units including that Candidate Unit) after the Qualification Application Opening Date but before the Qualification Application Closing Date specified in the applicable Capacity Auction Timetable.

- E.4.1.4 Unless a valid Opt-out Notification is received by the System Operators or the Participant has opted-in to the Alternative Qualification Process, a Participant must ensure that Qualification Data is complete and current in respect of each of its Candidate Units which it is seeking to be Qualified as at the Qualification Application Closing Date specified in the Capacity Auction Timetable.

- ~~E.4.1.5 Upon receiving an Application for Qualification in relation to a proposed Capacity Market Unit which does not already have a Capacity Market Unit Identifier, the System Operators shall assign a Capacity Market Unit Identifier to the proposed Capacity Market Unit and shall notify the Participant submitting the Application for Qualification of the assigned Capacity Market Unit Identifier. Intentionally blank.~~

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- E.4.1.6 A Participant who submits an Application for Qualification must retain all information included in, or supporting, or relevant to the preparation of, an Application for Qualification for a period of six years after the later of:

- (a) the Qualification Application Closing Date; and
- (b) if Awarded Capacity is allocated in respect of the Capacity Market Unit that is the subject of the Application for Qualification, the date on which its obligations under this Code in respect of that Awarded Capacity have ended.

## E.7 REQUIREMENTS FOR QUALIFICATION

### E.7.2 Administrative Considerations

E.7.2.1 The System Operators may reject an Application for Qualification for a Capacity Year in respect of a Candidate Unit or combination of Candidate Units where:

- (f) they consider the delivery of a part or all of any New Capacity proposed in the Application for Qualification is not feasible (either technically or in the applicable time frame);
- (g) in respect only of the next Capacity Year to begin, the Application for Qualification relates to New Capacity to be supplied from a Capacity Market Unit which has had Awarded New Capacity for that Capacity Year terminated under paragraph J.6.1.6 based on progress reported in a T-1 Implementation Progress Report; **or**
- (h) the Participation Notice was submitted after the Participation Notice Closing Date specified in the applicable Capacity Auction Timetable.

## GLOSSARY

**Qualification Application Opening Date** means the first date a Participant can submit an Application for Qualification in respect of a Capacity Year.

**Qualification Application Closing Date** means the last date a Participant can submit an Application for Qualification in respect of a Capacity Year.

**Participation Notice Closing Date** means the last date a Participant (or Applicant, as applicable) can provisionally register a proposed Generator Unit or proposed Interconnector as a Candidate Unit in respect of the Capacity Auction

## APPENDIX C: CAPACITY AUCTION TIMETABLE

TABLE A: CAPACITY AUCTION TIMETABLE INDICATIVE TIMEFRAME

	Event	Indicative Timeframe
<b>0</b>	Participation Notice Closing Date: the last date a Participant (or Applicant, as applicable) can provisionally register a proposed Generator Unit or proposed Interconnector as a Candidate Unit in respect of the Capacity Auction	A-29 Weeks
<b>1</b>	Initial Auction Information Pack Date: the last publication date for the Initial Auction Information Pack	A-25 weeks
<b>1A</b>	Qualification Application Opening Date: the first date a Participant can submit an Application for Qualification in respect of the Capacity Auction	A-25 weeks
<b>2</b>	Opt-out Notification Date: the last date a Participant can submit an Opt-out Notification	A-23 weeks
<b>3</b>	Exception Application Date: the last time a Participant can make an Exception Application to the Regulatory Authorities	A-21 weeks

<b>4</b>	Qualification Application <b>Closing</b> Date: the last date a Participant can submit an Application for Qualification in respect of the Capacity Auction	A-21 weeks
<b>5</b>	Provisional Qualification Results Date: the date by which the System Operators are expected to inform persons who submit Applications for Qualification of Provisional SO Qualification Decisions in respect of the Capacity Auction	A-11 weeks
<b>6</b>	Final Qualification Submission Date: the date by which the System Operators are expected to provide Final Qualification Results in respect of the Capacity Auction to the Regulatory Authorities for approval	A-5 weeks
<b>7</b>	Final Qualification Results Date: the date by which the System Operators are expected to inform persons who submit Applications for Qualification of Final Qualification Decisions in respect of the Capacity Auction	A-3 weeks
<b>8</b>	Qualification Results Publication Date: the date by which the System Operators are expected to publish the total Qualified capacity in respect of the Capacity Auction	A-3 weeks
<b>9</b>	Date for finalising the Locational Capacity Constraint Limits for the Capacity Auction	A-3 weeks
<b>10</b>	Final Auction Information Pack Date: the date by which the System Operators are expected to publish the Final Auction Information Pack for the Capacity Auction	A-3 weeks
<b>11</b>	Capacity Auction Submission Commencement: the earliest date and time that Participants may submit Capacity Auction Offers in respect of Capacity Market Units Qualified to participate in the Capacity Auction	A-1 week
<b>12</b>	Capacity Auction Submission End: the last date and time until Participants may submit Capacity Auction Offers in respect of Capacity Market Units Qualified to participate in the Capacity Auction	10 AM on A
<b>13</b>	Capacity Auction Run Start: the day and time that the System Operators initiate the run of the software program referred to in paragraph F.8.5.1 in respect of the Capacity Auction	<b>A</b>
<b>14</b>	Capacity Auction Completion Date: the date by which the System Operators are expected to	A+3 Working Days

	complete the Capacity Auction (including the Capacity Auction Monitor's review)	
<b>15</b>	Capacity Auction Provisional Results Date: the date by which the System Operators are expected to provide provisional Capacity Auction Results to Participants	A+3 Working Days
<b>15A</b>	Capacity Auction Provisional Results Publication Date	A+8 Working Days
<b>16</b>	Capacity Auction Approval Date: the date by which the Regulatory Authorities are expected to approve the Capacity Auction Results	A+4 weeks
<b>17</b>	Capacity Auction Results Date: the date the System Operators are expected to publish the Capacity Auction Results	A+5 weeks
<b>18</b>	Performance Security Date: the last date for Participants to provide Performance Securities to the System Operators for Awarded New Capacity allocated in the Capacity Auction	A+5 weeks

## CMC Agreed Procedure 3 - Qualification and Auction Process

### 2.2 Key Qualification Dates

**Participation Notice Closing Date:** the last date a Participant (or Applicant, as applicable) can provisionally register a proposed Generator Unit or proposed Interconnector as a Candidate Unit in respect of the Capacity Auction.

**Qualification Application Opening Date:** the first date a Participant can submit an Application for Qualification in respect of the Capacity Auction.

**Qualification Application Closing Date:** the last date a Participant can submit an Application for Qualification in respect of the Capacity Auction.

#### 3.1.4 Opt-out Notification\*

Step	Step Description	Timing	Method	By / From	To
1	Participant submits an Opt-out Notification. An Opt-out Notification must include all the required information and supporting documentation set out in paragraph	Before the Opt-out Notification Date (Event 2)	<del>Email</del> <b>Upload</b> on template provided by System Operators to <b>Capacity Market Platform</b>	Participant	System Operators

	E.3.1.3 of the Code. Note: In the event that an Opt-out Notification is rejected, it may be resubmitted before the Opt-out Notification Date (see step 4). Therefore, in order to allow for an opportunity for resubmission, an Opt-out Notification should be submitted as early as possible.				
4	The Participant may resubmit the Opt-out Notification if there is sufficient time. If they choose not to resubmit the Opt-out Notification the Participant should commence the Qualification Process as normal.	Before the Opt-out Notification Date (Event 2)	<del>Email</del> on Upload template provided by System Operators to Capacity Market Platform	Participant	System Operators

\*Opt-out Notification diagram will be updated accordingly.

### 3.2 Application for Qualification and Qualification Assessment

Step	Step Description	Timing	Method	By / From	To
1	The System Operators shall provide the relevant forms to be completed by applicants as part of the Qualification Process.	-	System Operators' website / Capacity Market Platform	System Operators	Applicants

2	The Participant submits an Application for Qualification for a Candidate Unit or a combination of Candidate Units, in the format specified by the System Operators. The information required in an Application for Qualification is outlined in Appendix D "Qualification Data" of the Code	Before the Qualification Application Closing Date (Event 4)	Email Capacity Market Platform	Applicants	System Operators
5	The Applicant may update its Qualification Data and submit a new Application for Qualification prior to the Qualification Application Date.	Before Qualification Application Closing Date (Event 4)	Email Capacity Market Platform	Applicants	System Operators

\*Application for Qualification and Qualification Assessment diagram will be updated accordingly.

### 3.3.1 Provisional SO Qualification Decisions

Step	Step Description	Timing	Method	By / From	To
1	If the System Operators propose to Qualify a Capacity Market Unit, they shall notify the relevant Participant of their Provisional SO Qualification Decisions in respect of the Capacity Market Unit.	Use reasonable endeavours to give notification before the Provisional Qualification Results Date (Event 5)	Email/ Capacity Market Platform	System Operators	Participant
2	If the System Operators propose to reject an Application for Qualification submitted by a Participant they shall notify the Participant of the requirements under section E.7 of the Code that the Application for Qualification failed to satisfy.	Use reasonable endeavours to give notification before the Provisional Qualification Results Date (Event 5)	Email/ Capacity Market Platform	System Operators	Participant

\*Provisional SO Qualification Decisions diagram will be updated accordingly.

### 3.3.3 Final Qualification Decisions

Step	Step Description	Timing	Method	By / From	To
5	The System Operators shall notify Participants of the approved Final Qualification Decisions in respect of their Capacity Market Units. Note: The Final Qualification decisions approved, or deemed to have been approved by the Regulatory Authorities (and as substituted by the Regulatory Authorities) under section E.9.4 of the Code are final and binding on the Parties.	By later of Final Qualification Results Date (Event 7) and a date being two Working Days after the Regulatory Authorities have approved (or deemed to have approved) the Final Qualification Decisions in respect of the relevant Capacity Auction	Email/ Capacity Market Platform	System Operators	Participants

\*Final Qualification Decisions diagram will be updated accordingly.



**F.4.1.4** Regarding prospective adjustments, the System Operators shall make a determination under paragraph F.4.1.1 based on the Provisional SO Qualification Decisions and submit the values to the Regulatory Authorities no later than ~~two~~ fifteen Working Days after the Provisional Qualification Results Date and no later than the date set out in the applicable Capacity Auction Timetable, where:

(i) for the purpose of this paragraph, prospective adjustments mean, in respect of each Locational Capacity Constraint, all adjustments to quantity (in MW) proposed by the System Operators to the Regulatory Authorities that are dependent upon provisional qualification data.

**F.4.1.4A** The System Operators shall submit indicative adjustments to the Regulatory Authorities no later than the date set out in the applicable Capacity Auction Timetable, where:

(i) for the purpose of this paragraph, indicative adjustments mean, in respect of each Locational Capacity Constraint, all adjustments to quantity (in MW) proposed by the System Operators to the Regulatory Authorities that are not dependent upon provisional qualification data.

**TABLE A: CAPACITY AUCTION TIMETABLE INDICATIVE TIMEFRAME**

	Event	Indicative timeframe
1	Initial Auction Information Pack Date: the last publication date for the Initial Auction Information Pack	A-25 weeks
2	Opt-out Notification Date: the last date a Participant can submit an Opt-out Notification	A-23 weeks
3	Exception Application Date: the last time a Participant can make an Exception Application to the Regulatory Authorities	A-21 weeks
4	Qualification Application Date: the last date a Participant can submit an Application for Qualification in respect of the Capacity Auction	A-21 weeks
4A	Date by which the System Operators are expected to provide indicative adjustments in respect of the Capacity Auction to the Regulatory Authorities for approval	A-16 weeks
5	Provisional Qualification Results Date: the date by which the System Operators are expected to inform persons who submit Applications for	A-11 weeks

	Qualification of Provisional SO Qualification Decisions in respect of the Capacity Auction	
5A	Date by which the System Operators are expected to provide prospective adjustments in respect of the Capacity Auction to the Regulatory Authorities for approval	A-8 weeks
6	Final Qualification Submission Date: the date by which the System Operators are expected to provide Final Qualification Results in respect of the Capacity Auction to the Regulatory Authorities for approval	A-5 weeks
7	Final Qualification Results Date: the date by which the System Operators are expected to inform persons who submit Applications for Qualification of Final Qualification Decisions in respect of the Capacity Auction	A-3 weeks
8	Qualification Results Publication Date: the date by which the System Operators are expected to publish the total Qualified capacity in respect of the Capacity Auction	A-3 weeks
9	Date for finalising the Locational Capacity Constraint Limits for the Capacity Auction	A-3 weeks
10	Final Auction Information Pack Date: the date by which the System Operators are expected to publish the Final Auction Information Pack for the Capacity Auction	A-3 weeks
11	Capacity Auction Submission Commencement: the earliest date and time that Participants may submit Capacity Auction Offers in respect of Capacity Market Units Qualified to participate in the Capacity Auction	A-1 week
12	Capacity Auction Submission End: the last date and time until Participants may submit Capacity Auction Offers in respect of Capacity Market Units Qualified to participate in the Capacity Auction	10 AM on A
13	Capacity Auction Run Start: the day and time that the System Operators initiate the run of the software program referred to in paragraph <b>Error! Reference source not found.</b> in respect of the Capacity Auction	A
14	Capacity Auction Completion Date: the date by which the System Operators are expected to	A+3 Working Days

	complete the Capacity Auction (including the Capacity Auction Monitor's review)	
15	Capacity Auction Provisional Results Date: the date by which the System Operators are expected to provide provisional Capacity Auction Results to Participants	A+3 Working Days
15A	Capacity Auction Provisional Results Publication Date	A+8 Working Days
16	Capacity Auction Approval Date: the date by which the Regulatory Authorities are expected to approve the Capacity Auction Results	A+4 weeks
17	Capacity Auction Results Date: the date the System Operators are expected to publish the Capacity Auction Results	A+5 weeks
18	Performance Security Date: the last date for Participants to provide Performance Securities to the System Operators for Awarded New Capacity allocated in the Capacity Auction	A+5 weeks

## CMC Agreed Procedure 3 - Qualification and Auction Process

### 4.2 Key Capacity Auction Dates

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\*Key Capacity Auction Dates diagram will be updated accordingly.

**Date by which the System Operators are expected to provide indicative adjustments in respect of the Capacity Auction to the Regulatory Authorities for approval.**

**Date by which the System Operators are expected to provide prospective adjustments in respect of the Capacity Auction to the Regulatory Authorities for approval.**